Foreword

This Survey was conceived in order to fill a need for a survey course in Āyurvedic herbology for our students at the Ayurvedic Institute in Albuquerque, NM. At the time this writer was charged with designing and teaching the course. When I began to think about what scope and content would be appropriate for an introductory course in herbology I began brainstorming a listing of topics I deemed appropriate. Prior personal experience as a student and as a teacher of Āyurvedic theory led me to think that two criteria were important: 1) A broad, but somewhat, superficial treatment of many topics relevant to herbalism, in general, and to Āyurvedic herbology in particular, would be desirable; 2) when and where ever possible the authoritative classical literature could be cited, it should be.

On the first point, it seems to me that Westerner's approach a topic, indeed, need a picture of the whole field or subject. This need contrasts with the traditional teaching method of India, which is sutra-oriented. Sutras are threads of wisdom which are intended, only when many are learned, to present the detail and the big picture. The fact that one must learn all of the sutras before one can confidently say that he has the whole picture of a given topic is somewhat of a drawback for Westerners, who want to learn everything right away, or at least want to know where all this study is going. Thus this Survey was designed to present as many relevant topics as practical, within the time allotted as given by administrative constraints. It starts with a discussion of our historical roots and concludes with such practical issues of law, ethics, and resources.

On the second point, the tradition of Āyurveda is largely an oral one. While it's impossible to know exactly how much is written and how much is oral, one thing is constant—the need to have, to learn from, and to quote accepted authorities. In this light much of the material in the Survey quotes translations of the Āyurvedic classics: Caraka Saṃhitā, Suśruta Saṃhitā, Vāgbhaṭa's Aṣṭaṅga Hṛdayam & Saṅgraha, Mādhava Nidānama, Śaṅgadhāra Saṃhitā, Bhāvaprakāśa of Bhāva Miśra, Bhela Saṃhitā, and Kāśyapa Saṃhitā. I am indebted to their translators: PV Sharma for Caraka and Suśruta Saṃhitās (sometimes Bhishagratna), Tewari for Kāśyapa and to Śri Kantha Murthy for translations of the rest. On this point it must be acknowledged that the English renderings of a given Sanskritic text by different experts often yield significant differences of meaning. The consciousness of the translator is often an important element in the final rendering. There is a significant difference between technical Sanskrit and literary Sanskrit. One must be familiar with both and with the scientific discipline at hand. Further, a clinical and scholarly appreciation of the science is valuable as well. Nonetheless, for the purposes of this Survey, this area of controversy has been ignored mostly; at times it has been dealt with by including alternative meanings at this author's discretion.

Another point is that for the compilation of such a wide-ranging book, many books, sources, and authors were consulted. At the outset of this task, requested on short notice, and fulfilled in equally short time, a legacy of the original version may persist--some lack of strict attribution of sources. With subsequent versions we have tried to give proper credit. In this light, however, it may be that some of those contributing to this Survey have been acknowledged in the Bibliography only generally without page citations. This work rests solidly on the work of others. My opinions and ideas are evident enough so as to see the line between my thoughts and those of others. Along these lines since my reading is often far a field, sometimes I have taken ideas from other writers and contexts and adapted them to this field and context.

Another point on the content of this Survey—because of the initial constraints of time, etc., I felt that a mere presentation of ideas would be sufficient for this Survey to be useful. Specifically, many quotations and statements contained herein may be inscrutable to the novice. Thus this text was

deemed to be more a guide for the teacher than a textbook for the student. The latter typically has much explanatory material and in many respects is capable of standing alone, without a teacher to explain and elaborate. As time and resources become available, however, I continue to make this work more self-sufficient—a textbook. Thus one should be aware of the version printed on the cover and to revision dates recorded at the bottom of every page in each section.

One important element of this presentation is the use of Sanskrit (in its transliterated form). This feature helps maintain the tradition, as translations may vary but the Sanskrit is forever fixed. There is another value in including and studying the Sanskrit—as a mantric language its meaning is easily comprehended by our own inner Consciousness. As a mantric language it structures coherence in the reader, speaker, or listener. It is hoped that the student will attempt to learn many of the terms of this science.

This Survey has been written with diacritical marks consistent with the International Congress of Orientalist's guidelines for transliterating Sanskrit. This will enable the student to reconstruct the Devanagari of the original word.

Some final remarks--The Table of Contents has been created to be both a listing of topics and an index, crude as it is. Please note that page numbering is limited and specific to one section only. With only two exceptions, each module or section has a concluding page of questions, which, when correctly answered, are intended to be a review of the material (**now available only in the home study course version**). Only in rare cases are these questions of the thought provoking character. As a teaching device certain sections also include a page of individual or group exercises / activities.

Michael Dick November 20, 2007

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Ganeśa Gayatri, Sarasvati Gayatri, Sūrya Gayatri, & Dhanvantari Gayatri

Useful Abbreviations and Their Combinations (following in this section)

Transliteration Scheme

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3.	Balā	11. Harītakī	19. Śaṅkhapuṣpī
4.	Bhṛṇgarāja	12. Kaţukā	20. Śatāvarī
5.	Bhibītaka	13. Jatāmāmsī	21. Tagara
6.	Candana	14. Mandūkaparņi	22. Viḍaṅga
7.	Citrak	15. Manjiṣṭhā	23. Vidārī
8.	Gokșura	16. Nimba	24. Yaştīmadhu

20. Appendices (ToC)

- Appendix 1 Glossary of Botanical Terms
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- Appendix 4 Classification According to Constituent
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The Vedic tradition of learning – teaching requires both student and teacher to pay homage to the tradition. Traditionally, this is done by recitation of prayers. The following are a good sampling of those that may be recited before the teaching - learning begins. Ganeṣa protects all things and people associated with the event; Sarasvati gives knowledge and wisdom; The Sun makes for illumination and brilliance; Dhanvantari is the patron of Āyurveda.

Ganeśa Gayatri

Om ekadantāya vidmahe Vakratundaya dhīmahi Tanno danti pracodayāt

By your single tooth we know Thee
We meditate upon that curved trunk
May that toothed one awaken supreme Consciousness in our minds

Sarasvati Gayatri

Om vāgdevyai ca vidmahe Kāmapradāyai dhīmahi Tanno devi pracodayāt

We know the goddess of speech
We meditate upon the fulfiller of all wishes
May that divine mother illuminate our minds with supreme Consciousness

Sūrya Gayatri

Om prabhākarāya vidmahe Divākarāya dhīmahi Tannaḥ sūrya pracodayāt

By your making illumination we know Thee
We meditate upon the maker of the light of day
May this maker of the light awaken the supreme Consciousness in our minds

Dhanvantari Gayatri

Om amṛthastāya vidmahe Roganaśāya dhīmahi Tanno dhavantari pracodayāt

By your promoting eternal life we know Thee
We meditate upon the destroyer of disease
May this Dhanvantari awaken our minds with supreme Consciousness

 $(\underline{\mathsf{ToC}})$

USEFUL ABBREVIATIONS & THEIR COMBINATIONS

The following abbreviations are commonly used in referring to the Classical writers and texts.

Ca. = refers to Caraka Samhitā

Su. = refers to Suśruta Samhitā

Vāg. = refers to Vābhaṭa

A.S. = refers to Astanga Sangraha

A.H. = refers to Aştanga Hrdayam

Śarng = refers to Śarngadhara Samhitā

Mā. Nī. = refers to Mādhava Nīdāanam Samhitā

B.P = Bhāvaprakāśa of Bhāva Miśra Samhitā

Kaś = Kāśyapa Samhitā

Su. = Sutrasthānam

Nī. = Nīdānasthānam

Vi. = Vimanasthāanam

 $S\bar{a}$. = $\hat{S}\bar{a}r\bar{r}asth\bar{a}nam$

In. = Indriyasthānam

Ci. = Cikitsāsthānam

Si. = Siddhisthānam

Ka. = Kalpasthānam

Ut. = Uttarasthānam

Ca. Su. = Caraka Samhitā Sutrasthānam

Ca. Nī. = Caraka Samhitā Nīdānasthānam

Ca. Vi. = Caraka Samhitā Vimanasthāanam

Ca. Sā. = Caraka Samhitā Śārīrasthānam

Ca. In. = Caraka Samhitā Indriyasthānam

Ca. Ci. = Caraka Samhitā Cikitsāsthānam

Ca. Si. = Caraka Samhitā Siddhisthānam

Ca. Ka. = Caraka Samhitā Kalpasthānam

Su. Su. = Suśruta Samhitā Sutrasthānam

Su. Nī. = Suśruta Samhitā Nīdānasthānam

Su.Vi. = Suśruta Samhitā Vimanasthāanam

Su. Sā. = Suśruta Samhitā Śārīrasthānam

Su. Ci. = Suśruta Samhitā Cikitsāsthānam

Su. Ka. = Suśruta Samhitā Kalpasthānam

ETC. – Putting them together

Ca. Su. V.23 = Caraka Samhitā Sutrasthānam, Chapter 5, verse 23

Ca. Su. 5.23 = Caraka Samhitā Sutrasthānam, Chapter 5, verse 23

 $(\underline{\text{ToC}})$

Scheme of Transliteration

Aa A-ā i jī £u ¤ū ¨ŗ ¨ŗ Еe Ewai Awo AWau A'am A"ah k ka % kha g ga `gha ; na ^ cha **j** ja & jha Å ñ C ca \$ ţa # tha @ ḍa ! dha t ta d da / dha n na **q** tha f pha b ba . bha m ma p pa l la r ra y ya S sa V va h ha D jña ΧŚ Ζş = ksa

(ToC)

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Introduction

This Survey has taken, now, several years to come to this stage. Its numerous versions are in many respects a trail of the experience of the growth in my own knowledge and understanding of the subject of Āyurveda, generally, and with its herbal tradition, specifically.

This Survey is not a work on the principles of Āyurveda—it's a survey of the ideas, concepts, and aspects of the field of Āyurvedic herbology. This Survey is directed at those with understanding of the principles so they—vāta, pitta, and kapha--are not presented. An effort has been made to present such detail of the written record on this matter that would be digestible for the beginning student. This topic is too broad to be limited to theory exclusively--in an introductory work/course. Hence, the theory of drug action is nearly all the theory to be found here. On the other hand, it's important, we believe, to convey some understanding about the philosophy (epistemology) of science (or we could say its methodology) generally, and how this theme relates to Āyurveda, specifically.

I shall define science as a formalized endeavor aimed at discovery--becoming aware of, becoming familiar with, and gaining understanding of the objects of our senses, i.e. that which we call experience. This experience may be of things grossly phenomenal or subtly mental or emotional, even conceptual.

I am reminded of a central element of the history of a related topic (science--generally, physics--specifically) with Einstein's tribute to Isaac Newton, appearing in the Smithsonian Annual Report for 1927, on the occasion of the second centenary of Newton's death:

"...He is, however, a yet more significant figure than his own mastery makes him, since he was placed by fate at a turning point in the world's intellectual development. This is brought home vividly to us when we recall that before Newton there was no comprehensive system of physical causality which could in any way render the deeper characters of the world of concrete experience.

"The great materialists of ancient Greek civilization had indeed postulated the reference of all material phenomena to a process of atomic movements controlled by rigid laws, without appealing to the will of living creatures as an independent cause. Descartes, in his own fashion, had revived this ultimate conception. But it remained a bold postulate, the problematic ideal of a school of philosophy. In the way of actual justification of our confidence in the existence of an entirely physical causality, virtually nothing had been achieved before Newton...."

Here Albert Einstein points to the whole thrust of philosophy, generally, and to western science, specifically—namely that our task is to find rules or laws of Nature that explain how and why things work as they are observed. Huxley described this process as two motives in action: comprehension and control. The first precedes, necessarily, the second. This tradition is both reductionistic and decidedly materialistic. On the point of reductionism we can say that the salient thrust of science is to reduce masses of data to a simple construct or understanding. Pribram (see bibliography) describes the process thusly:

"A(n) ... analysis shows that descriptors ...are derived from an analysis of experience into components. The components are organismic and environmental (biological and physical or social), and each component can be subdivided further into subcomponents until the quantum and nuclear levels of analysis are reached. This procedure of analysis downward in a hierarchy of systems is the ordinary way of descriptive science. Within systems, causes and effects are faced. When discrepancies are found, statistical principles are adduced and probabilities invoked. Scientists have become adept and comfortable with such procedures."

As for modern medicine, its detractors opine that Western scientific medicine has gone too far--an over-simplification has occurred and this has resulted in omission of key elements in the causal chain-rendering it at least partially invalid. For example, there is still no formal effort in modern scientific medicine to incorporate mind and spirit into its construct of life. This position will render its theoretic

approach ineffective in cases of mental or spiritual dysfunction--should there be one. With respect to materialism, though not in an all-pervading, purely mechanistic, sense (disdaining free will, etc.), nearly all medical paradigms are seen as materialistic. And this label is also applicable to our venerable science--Āyurveda. Its philosophical underpinnings are clearly affirmed by sutras in the Caraka Saṃhitā and elsewhere--Āyurveda is decidedly materialistic but asserts that the nature of this materialism may exceed sensory experience. This materialism, according to Āyurvedists, takes on unique expressions as seen in the inclusion of time, mind, direction, and soul as atoms of causality, in addition to atoms of space, air, fire, water, and earth. Indeed, nothing occurs but by the force of material objects (atoms) and their various interactions. Thus it is that a conception of "matter," substance, and materialism may vary according to one's particular system but in the end, it seems to this writer, we are all "materialists." While traditional western philosophers adduce a mechanistic or deterministic universe from their materialism, we Āyurvedists do not. Quite simply because mind and spirit are part of the causal scheme and we all know how fickle mind can be and the soul has free will.

It has long been a vexing issue for me that the jargon and rules of science, in a general way, are not formally taught in our educational institutions. While working for a Master of Economics degree I asked one of my professors for guidance about reading in this topic. He said he knew of no such source for this quest. In light of this void in education I have decided to attempt to fill this need with the following discussion; even though this Survey is not about theory exclusively or even predominantly. I shall call this material the philosophy of science. I would like to acknowledge at this time one important source for my understandings on this topic—Milton Friedman, PhD. (see bibliography).

The philosophy of science is a broad topic that embraces discussions about modeling, theorizing, testing, verifying, and so on. Its jargon includes some other terms as well—axiom, assumption, proof, maxim, facts, postulate, dictum, data, and so on. Its beginning is unknown as written history is both sketchy and fragmented before about 3500 BCE. But it has two elements--experiential and conceptual; i.e. knowledge is based upon experiences and upon thinking about things that we could experience but need not have an experience with (for an interesting summary, see: Shelldrake). Allegory was undoubtedly an early form of this effort (see Paramahansa Yogananda's translation and commentary on the Bhagavad Gita for an example of this point).

We must take into account the historical perspective of ancient scientists. We need to ask ourselves how seers and philosophers thought about their thoughts. Did they construe their views as accurate depictions of reality or merely as rough approximations? We think that the fundamental tool of science--modeling as we call it--has evolved over time. But it's important that we are aware of this evolution of science and formal thought. It gives us an unique advantage in one way of thinking--we don't have to be worried over the subjective nature of our experiences and of their interpretations which we render as science.

The basis of any science rests in two related but distinct fields: ontology and epistemology. The former is about the field of what exists and the latter is about the nature, methods, scope, and limits of knowledge. Both fields, taken together, consist of necessary foundational ideas and methods that every science assumes. Science is about how we think—the way we agree to think—in other words it's an epistemology and a grammar. The modern concept of science exists within this field in a very special way. It affirms the supremacy of sensory data--of observations in nature of nature, with controlled experimental, clinical, and laboratory investigations coming later (see Crayhon). Its ontological stance derives from the notion that sensory data are the only basis of knowing. It's the foundation of "evidence-based" medicine as opposed to "faith-based" medicine. Evidence or data or facts arise out of repeatable observations and experiments; however, as we Āyurvedists believe, sensory-derived data may be limited—ask the yogi's and psychics. On the other hand, faith-based data may lack the

evidence of experiments (think scientific method) yet be valid. However, we want to avoid "science by consensus" which merely affirms facts without validation / testing just because a large number of people hold something to be true or factual. Some would argue that faith-based science is science by consensus. Āyurveda's reliance upon its herbal tradition for over 3,500 years poses a special challenge to modern Āyurvedists.

Science is more than logical thinking, however, it's guided or directional thinking. It may be compared to the term grammar—science is a set of rules applied to a class of data that governs the way the data shall be analyzed. With respect to Āyurveda, grammar means that rules of structure and function are being described, explained, and applied to living entities. As scientists we agree to be selectively attentive to data relating to the principles of the model and to ignore most else. We agree to consider related things first (dictated by the principles) and others later, or not at all. We agree to follow the conceptual / physical transformations according to the way the model guides--logically. Scientific thinking is disciplined thinking, orderly, consistent, and accurate. It is a system of reasoning. The syllogism is one form, among many, of logical devices (made popular by Aristotle).

From the modern perspective, one thing modern science is not--intuition or inspiration. Although, many scientists will say that these account for important leaps in understanding and in the theory, itself. This simply means that intuitive thinking is non-linear or non-inferential. One might say that epiphany arises not from deductive or inductive thinking but from somewhere or something that can't be explained. It just happens. Having said this, we must equivocate somewhat by adding that for the ancient seers science does include those understandings gained in meditation and through the ability to see finer states of reality. Thus it is that the "science" of Āyurveda includes the anatomy, physiology, chemistry, botany and so on but even more importantly the inner experience of the field of Being, Itself. That is to say, the field of knowledge about the Creator is just as real for the seers as the things of the physical creation, which are perceived through the 5 senses. There is a science of Being, which formally describes and discusses the fundamental nature of existence as spiritual. But in the final analysis Āyurveda is an applied technology of the prior existing science of Being.

It is useful however, in order to understand any science, one has an idea of what a model, paradigm, doctrine, or theory is. One definition of these synonyms is that they are *a representation of an idealized version of an imaginable universe*—or simply a thought experiment. A theory will establish explicitly or implicitly a realm of relationships—things closely related (explicitly), things marginally related, things unrelated (implicitly by omission). From the Vedic perspective the term, śāstra seems apt. This conveys the idea of science as theorizing. Science is more than a collection of empirical data, of experiences. Folk medicine, for example, is devoid of theorizing but full of experiences with herbs, etc.

One expert has given the criteria for a viable theory (Wolff, see bibliography): "1) raises much less questions than the answers it provides, 2) introduces many fewer new constants than the natural constants it explains, and 3) the number of assumptions required should be much less than the properties of Nature it reveals; in short simplicity is the goal. But often the zeal to publish overrules logic so eternal hope has given almost eternal life to many useless theories." With respect to #2 we can see that Sāṅkhya and Vaiśeṣika have differing views of the causative substance(s) in Nature. Puruṣa-Prakṛti (with it 24 qualities) contrasts to the 9 causative substance of Vaiśeṣika, e.g.

Theory can be practical or conceptual. For example, there's an experimentally affirmed theory that states and confirms that a 35% deprivation of cell respiration replaced by fermentation of sugar (anaerobic metabolism) is a necessary and sufficient condition for cancer cells to form—called the Warburg Phenomenon. Researchers can, without exception, show that this scenario always leads to the formation of cancer cells. The problem with this theory was that there was no way to prevent this

condition in practice because it couldn't point to a way of avoiding the process.; thus it languished for decades in the doldrums of esoteric intellectual science. We Āyurvedists want to make sure that Āyurveda is a practical / clinical science, not just a conceptually elegant model.

We'd like to take each of the words of our definition of model in turn beginning with representation. The use above of the term **representation** is important here because we do not want to convey the idea that a model is in any sense a description of or a depiction of reality. Representation means that it serves as a representative or surrogate for (as if) but not as an exact replica of. It may be useful to think of a map—think of the map as representing the 3-dimensional territory. On the other hand, terms such as replica and description convey that idea of exact equivalency, same in identity, etc. . It may be useful to think of this relationship of representation vs. description as similar to the Realists vs. the Impressionists in art. Thus we affirm that models give a sense of something (the mapping) but are not that "something" (the territory) actually. There are many kinds of maps—road, terrain, climate, wind speed, and so on. Each is suited to a specific purpose and can not convey or explain all the data about the "terrain—the reality." We can say directly that scientists are map-makers. In terms of epistemology and ontology the term representation is used to denote how we hold this knowledge whereas description is used to denote an ontological state of existence. The 6 systems of Indian philosophy (upangas) are given as an example of the usefulness of this representational approach. Each has a limited view of reality and for its own purpose; each is a useful representation of reality. All, taken together, give a better view of the whole even though they hold contradictory positions on many details. Thus none is a description of reality but a partial representation of it. None is a belief system describing reality; none requires a faith. The correctness on descriptive terms is irrelevant to the usefulness of each system. Sometimes models are limited in scope, i.e. are about limited range of events or phenomena, and sometimes they are quite complicated. Taken as a science Ayurveda is really a system with numerous models folded together to explain many closely related ideas embodied in the notions of life, health, disease, treatment, drug theory and so on. This feature will distinguish early medical practices of folk medicine and herbal medicine from later system-building efforts of the classical Āvurvedists.

Idealized means pure, simple, fundamental, abstract, ideal, etc. We are trying to convey the notion that underlying laws of Nature are being represented in abstract and fundamental ways. Mostly, models are intended to represent narrow perspectives, not broad, sweeping, generalizations of Nature. The theory of light can be represented by a particle model or a wave model. One is not trying to explain many features of Nature, just one, in this case--light. It just so happens, in this case, two models (wave and particle) are required at the same time to explain different phenomena of light. Taken together they explain all phenomena associated with light. The science of Ayurveda, however, is a very big model. It encompasses almost everything about life and living beings. It even connects the living and non-living in its guṇa theory—rasapañcakam.

Imaginable universe is a term that implies existence, if not only in one's imagination. If one can think of something then it can be said to "exist" in this sense. For scientists, however, it's not enough to have imaginings, one must have relevant imaginings—such thoughts are of real experiences or about real data. The term universe might be a very narrow concept or a very broad one--such as the field of experience around light (narrow) or about the cosmic universe on the other hand. The science of Ayurveda is a very broad field or notion, for example.

The term (first) *principles* generally includes those ideas within a theory or model or paradigm that constitute or define the causal entities—those things that interact and produce effects. Some regard them as postulated truths or laws, while others call them "organizing principles" or ideas. Alexander Hamilton said in The Federalist Papers, #31 that they are truths "upon which all subsequent reasonings

must depend..." "Of this nature are the maxims of geometry that the whole is greater than its parts... Of the same nature are these other maxims of ethics and politics, that there cannot be an effect without a cause; that the means ought to be proportional to the end...." Rudin (pp. 21-24) refers to such terms as belonging to the meta-analytical codomain. This means simply that principles are a codomain of objective things and are the product of analytical processes. They are not objective, necessarily, themselves. Principles are also those things referred to above as: "closely related." For Āyurveda its first principles are vāta, pitta, and kapha—they come first in discussion of the science. Each connotes substance in the classical sense and in modern view they connote force or ability to effect or to bring change and when they are seen together many effects can be explained—physiology and pathophysiology among the living and functioning of non-living entities. These principles may not have a real existence, but this is not a limitation of the model. They may have "unrealistic" attributes—means they are hypothesized to act in some way that may be unrealistic. But this is not a limitation of the model. We are only trying to explain and understand Nature; we are not trying to describe it.

Principles often need **assumptions**, which might help to set a context or framework for analysis to take place in. For example, a popular one is to hold all other things static while we mentally work through a reasoning process. When we ask a student to describe the effects of a cold drink, we are implicitly holding all other factors constant. This allows for one to work through the exact and exclusive effects of only the cold liquid. (There is also an analytic methodology that sounds similar, called *static* analysis, which introduces one change at a time, as contrasted with dynamic analysis, which allows many variables to change simultaneously.) Another example might be the classical explanation of jvara (fever), viz.—"a dosa increased by diet, lifestyle, etc. upon entering the stomach, the seat of fire, mixes with rasa, blocks rasa and medas srotāmsi, affects agni and takes it out of the stomach, spreading it throughout the body to produce fever." The assumption is that dosa behaves in this particular way and produces the observed effect. Another important one affects Ayurveda: Sāṅkhyā and (advaita) Vedānta are dualistic and monistic, respectively. Each holds a view (assumes) the fundamental reality to be radically different. Both can't be right in a descriptive sense. But from the perspective of what each is trying to explain each assumption base is valid. Assumptions of a model might be descriptively unrealistic but this lack of realism does not invalidate the model itself. Only the ability of the model to explain is at stake here. Its assumptions are not at stake. Assumptions need never even approximate describing reality, they must just operate to give the model the right predictions.

Maxim (sometimes called axiom, postulate, or aphorism) is another interesting term. Sometimes it serves in the role of assumption by default but more often it is held, in declarative form, to be a truism. This truism may be a first principle, in fact although in this context we feel it may be useful to think of maxim as a secondary principle. In Ayurveda one fundamental maxim of our science is the Law of Similarity and Dissimilarity (Samānya Viśeṣa Siddhānta): "It is always the case for all substances that similarity is the cause of increase and that dissimilarity is the cause of decrease." This statement is not subject to debate or verification. It is just purely and simply true-according to Caraka. This axiom plays such a pivotal role in Avurveda that one might argue that its demise would be fatal for this paradigm. Another rather tacit assumption, not exclusive to Ayurveda by the way but certainly important because of its unchanging description of physiology, is the notion of nature's enduring and unchanging functioning—human (or any other created entity's) physiology works on the basis of permanent laws of nature. Life operates the same everywhere and will function and always has functioned the same. Without this assumption there would be no reason to try to learn about or to understand Nature, period. Ayurveda asserts that the gunas are eternal, ubiquitous, and universal properties of substance. This statement sounds consistent with $E = MC^2$ (law affirming the conservation of matter and energy) but how far are we willing to go? Can we really say that there are gunas of an electron or a photon? (Note: This problem is rather nicely resolved by Wolff's discussion of a wave theory of matter as really "a wave structure in a quantum space medium" and disposes of the

two-fold theory of light in current vogue.) Another maxim of Āyurveda is the doctrine of three-fold cause (Trividha Siddhānta). Āyurveda holds that changes in substances due to interactions must inhere in the substances involved in the interactions; the interactions can not manifest effects that are not (subtly) inherent (satkāryavāda). Cold effects result from cold causes only, etc. At other times, Āyurveda will reject this particular doctrine of cause and effect in favor of another. When this happens it may assert prabhāva (inexplicable reality) is the cause, or yet another model of cause and effect that allows for transformations of the causal entity to manifest (vivartavāda and pariṇāmavāda). On certain occasions it will suit us to hold on to one view of cause and effect and on other occasions it will suit us to assert another doctrine.

Data and facts are the raw stuff of any science. Rudin (pp. 21-24) states these terms relate to the object domain—things that exist. One type of fact is that which is measured—it's 75° F outside right now according to my thermometer, for example. A collection of facts, or data, might tell us the high tide schedule for Boston harbor during the last decade. Discoveries are also facts—olive oil never existed in India and so it was never described in the classical literature but now that it's known a description has been given. Other kinds of data might be inferential—either deduced or induced. Light energy is converted to biological energy in plants via photosynthesis is a deductive fact. The principles of a science are examples of inductive facts—vāta, pitta, and kapha are inferential facts of Āyurveda, for example. She is feeling cold, dry and nervous—the conclusion that vāta must be the cause is an induced fact (See Marino for more detail on this discussion). We must be clear what is fact and what is not. Often conclusions are facts but not meaningful data. That fact that everyone felt the world was flat does not grant this fact of consensus the status of truth.

We build data banks and particularly we build categories of data. Friedman (p. 26) states this codification serves as a filing system for organizing experiences and meets certain criteria, viz. categories are clearly and precisely defined, exhaustive, unambiguous, searchable, unique, and practically useful. Principles are part of the codification that is inherent to science. In this sense Friedman places them in codex of the science as part of the language of the science. Principles are the tautologies of the science that constitute the language of the science. The way they interact is the grammar—set of rules of analysis, etc. When one discusses vata, e.g., one understands this category of structure and function as unambiguously different from the categories of pitta or kapha things and actions. There is little doubt about Parkinson's disease being a vata disorder, for example, because all of its symptoms are traceable to the nature and function of the class of things called vāta. This confirms that the scheme of classifying is unambiguous, precisely defined, etc. Further, Friedman notes that this system of codex guides one to perform an important initial, if not obvious step in the analysis—to file the relevant factors into their respective categories. As a first step of analysis it performs the function of helping us to declare the things that we know about an event or problem. Once this has been done confusion is prevented. From this first step causal interactions or relationships may be inferred.

Rudin (pp. 21-24) brings to our attention that the terms: truth, true, and valid (validity) bear on this matter, too. For example, truth is a condition of statements about the objective domain or facts. Whether one can know the truth is independent of the fact that a statement is true or not. Statements about the facts are true or not, which truth may be subject to verification by examination. Further, when we are examining our theory it is better to use the term "valid" when relating to the various propositions concerning statements about facts; e.g. vāta contains substances that are cold, dry, light, rough, etc. is a valid statement, not a true statement, according to proper scientific grammar. "Āyurveda is a science" is a valid statement. However, Caraka said that vāta is cold, dry, light, rough, subtle, etc. is a true statement because the text affirms it. Whether there's validity to this statement we shall have to find out. The truth is we believe that Caraka said it but we are not sure it's valid.

The search for truth is really the search for things and knowledge (their relations) that are eternal, ubiquitous, universal, and unchanging—in other words it is the search for that which exists and for the laws of functioning and causation. Truth can be regarded as the body of knowledge called the laws of nature, for example. A law is a notion expressing a universal truth and is characterized by a lack of exceptions—e.g., we only and always see terrestrial or celestial objects fall down, according to the law of gravity. As intellectual constructs, laws are opposed to chance or random happenings. They yield determinism, understanding, predictability, and certainty. Rudin indicates that the universe is a lawful domain—it can be understood. Even the concept of atom implies as much for Rudin—it means that at the very minimum of existence there is still something that can not be fragmented, can not be infinitely variable. The concept of atom suggests determinism, that there is a limit to the variation in creation. Thus the search for truth can be seen to have a natural limit and be feasible, in principle. Since the field of action is the field of temporality it is not the case that one can know all actions in the creation, but one can know all the players and the results of their interactions. This statement is suggested in the term—evolution. It implies a deterministic process. While advocates for this notion are divided among the gradualists and the catastrophists and centrists of both extremes, nonetheless, causation is still at the root of the matter, not chance. In the field of biology the terms of interest that express this idea of evolution are: genetic variation and natural selection (survival of the fittest). Among Āyurvedists evolution is more narrowly viewed as expressed in the philosophical system of Sankhyā. The law of similarity (Sāmanya Siddhānta) is an example of an important statement of relationships between interacting fields, e.g., in Ayurveda.

The history of man is replete with writings about our efforts to conceptualize and understand Nature. Early thinkers did not confine thinking to sensory data alone, they thought about ethical themes, even what constitutes **knowledge**, itself. Today we study these themes separately under the rubric of science / natural science / natural philosophy as opposed to philosophy or metaphysics. Early thinkers would have grouped all knowledge under the term science—śāstra would be a good Sanskrit word. In this sense, we can say that Āyurveda is more than a science, as defined contemporaneously. It's philosophy, natural science, and art. It embraces knowledge of both the mystical as well as of the experiential or empirical. All the areas of philosophy are included in a traditional study of Āyurveda—ethics, cosmology, epistemology, ontology, aesthetics, logic, metaphysics, etc. And, of course, Āyurveda studies anatomy, physiology, chemistry, botany, physics, chemistry, and so on. It's also about the art of medicine—rules for maintaining life. It should be understood that the science of Āyurveda is much more than science in the modern definition.

The classical literature of Āyurveda affirms there are 4 valid ways to know—4 types of valid knowledge (pramānas). They are: observation, inference, analogy, and authority. Empirical knowledge has become the realm of science and science (knowledge) is much limited to experience. If one could not sense (hear, taste, touch, see, smell) then there is nothing to talk about. These objective data have become the supreme objects of study. Inference connects with experience, too—if one sees smoke or feels heat there must be fire or pitta. These two are sensory-based means of knowing. The area of analogy or comparison permits one to know more about things experienced or understood without direct experience. For example, this writer studies many fields of modern scholarship just to learn more about Āyurveda. "How?" You ask. One thing, by studying other sciences one learns of new distinctions and ways of viewing things that are useful for understanding our own methodology. Language, itself, has become very specialized. Authoritative knowledge embraces the oral and written sources and even the traditions of a culture. The value of these sources has been much eroded over time with the increasing acceptance of the empirical method. Philosophers could speculate but scientists would know for certain, through their sensory-based investigations. (We will not tackle the

issue whether Āyurveda is a science in the strict modern sense or whether it more aptly fits into the category of philosophy.)

As man arose from the depths of the Dark Ages a method of investigation or of natural inquiry arose. It's been called the "scientific method" and has become the standard for knowing. This method starts with data or experiences and then proceeds to try to understand relationships among them—a hypothesis is formed. The hypothesis or understanding about the (hypothesized) relationships is put to test and results of experiments either support or reject the hypothesis (or fail to do either). Scientists are quick to point out that any thing posing as theory must, in fact, present a testable hypothesis. Further, it should point to new tests of the hypothesis as well as to potential areas among old data. The hypothesis that a God exists, sadly seems impossible to test. Thus, a scientist would argue that this issue is the realm of speculative thinking—metaphysics or philosophy. As Friedman (p. 24) says: "Its (the hypothesis) performance is to be judged by the precision, scope, and conformity with experience of the predictions it yields." Good methodology requires that only one variable among many be changed at a time. This method helps guarantee correct conclusions about the causative agent because only one thing has been allowed to be changed. Cause and effect are therefore very clear. When we can establish cause we can exclude all other factors—they become the unrelated factors referred to above. The most valued form of testing or "Gold Standard" is the procedure that invokes double-blind (neither subject nor technician knows who is getting what, when), crossover (both control and test subjects get both sets of test/placebo media), randomized (assignment of test media is randomly assigned), with placebo (an inert substance is introduced as well as an active substance). The intent of this procedure is to identify only pharmacologically active substances and to identify those working outside this framework—placebo, e.g. However, we should be careful not to conclude that because a controlled experiment is not possible the conclusions are invalid. Astronomy and economics are two notable examples where this is seen to apply (See Friedman, p. 28).

Since the Renaissance Western thought has rejected the mystical, spiritual aspects of knowledge and focused upon studying and explaining our sensory experiences. In this sense the Renaissance can be seen as a conscious effort towards redefining the limits of knowledge. For one thing, authoritative **knowledge** was increasingly severely castigated, if not rejected altogether, and its influence increasingly waned over the decades and centuries. Remember that in the Western world that immense political and religious power rested with the Roman Catholic Church and its pope; its officialdom held that geo-centricity was proof of a God and of a divine order and this controverted empirical findings that heliocentricity was the nature of "the universe." Authoritative knowledge embraces the oral and written sources and even the traditions of a culture. The value of these sources has been much eroded over time with the increasing acceptance of the empirical method. Empirical knowledge became the realm of science and science (knowledge) was limited to experience. If one could not sense (hear, taste, touch, see, smell) then there was nothing to talk about. These objective data became the supreme objects of study. Philosophers could speculate but scientists would know for certain, through their sensory-based investigations. Since my early days as a student I have thought this to be evidence of a methodological dualism—we study physical data differently than mental ones, for example. There are in a way two worlds and we treat them differently. Recent investigations have shown that feelings in one person manifest in another and that there is an activation of neurons coupled with this empathy. The two worlds are merging.

There are schools of thought or doctrines in this regard and an important modern one that comes to mind is the **logical positivist** school. This is the main school of thinking in modern science throughout the world. Webster, p. 1330, defines it as "a movement holding that meaningful statements are either a priori and analytic or a posteriori and synthetic and that metaphysical theories are strictly meaningless and have strictly emotive force." Further Webster defines positivism (p. 1770) as "a system of

philosophy holding that theology and metaphysics belong to earlier or imperfect modes of knowledge whereas positive knowledge is based on natural phenomena and their spatiotemporal properties and invariant relations or upon facts as elaborated and verified by the methods of the empirical sciences." "The basic principle maintained by the logical positivists is the verifiability theory of meaning." According to this theory a sentence has factual meaning only if it meets the test of observation. Logical positivists argue that metaphysical expressions such as "Nothing exists except material particles" and "Everything is part of one all-encompassing spirit" cannot be tested empirically. Therefore, according to the verifiability theory of meaning, these expressions have no factual cognitive meaning, although they can have an emotive meaning relevant to human hopes and feelings." (Microsoft® Encarta® Encyclopedia 2002). One modern theorist in this school characterizes it in the following way: logical reasoning is its method and "all our knowledge is of invariant relations between given phenomena on whose nature or causation there is no sense in speculating." (See Schumpeter, p. 54) "A priori is marked by reasoning or deducing consequences from definitions formed or principles assumed (Webster, p. 107);" in other words it's deductive reasoning and it starts with general propositions and attempts to arrive at particular examples. "A posteriori is of or relating to the kind of reasoning that derives propositions from the observation of facts or that by generalizations from facts arrives at principles (Webster, p. 102);" in other words it is inductive thinking and starts with special cases and attempts to arrive at general propositions.

Another writer (Marino, p. 22) adds abductive reasoning. Notably, abductive thinking actually is not a form of correct reasoning at all but serves as a descriptor of how reasoning (of scientists or of nonscientists) can fall victim to believing before proving and is characterized by the use of the term "suggests" in a concluding statement—well we haven't proved how such and such came to be but it's very existence is evidence of a causal relation and because we have seen it arise before with regard to something we already understand this is enough to suggest that we know how to explain it, even though we haven't shown it in our experiments. Marino adds that this kind of reasoning is the norm for the biological sciences—we rarely observe chemical laws playing out in an exact way, and we are careless about making generalizations from research data involving them in living systems. An example of this is provided by the modern nutritional theory on bone-forming calcium. While it's widely assumed that bones take calcium from the blood supply and use it directly there are those who state that there is no empirical evidence for this assumption. Nay-sayers, such as the biogenesis advocates aver that the body/bones make their calcium from lighter elements of the periodic table (pairs whose atomic number add to the magical 40 of calcium). The abduction is the thinking that since we only believe that galactic/stellar dynamics lead to the formation of heavy elements that this is the only way they form. The biogenesis advocates label this astro-physics-ridden model as abductive. And finally, those who take solace from "empirical data" in animal or in vitro studies should keep this statement in mind before acceding to the conclusions the status of fact or truth.

There is yet another distinction which is important: Āyurveda is a normative science as well. It gives clear statements about the way things should be. All manner of conduct of the physician is described in terms of what is correct. Even the behavior of the individual in society has been abundantly described by the classical writers—all in terms of what is proper conduct. This contrasts sharply with positive science, which is about what is, not the "should be."

A Point of Related History

There is a term formerly widely used in the West that reminds us of our Āyurveda--physic—its meanings below are taken from Webster (p. 1076). The etymology of the word derives from Latin—physica, meaning of or relating to natural science and from Greek physikos, meaning natural. Its middle English form—physiks—is still seen from time to time. The word, itself, means: medical or

medicinal, the art or practice of healing diseases, the science of therapeutics, the practice or profession of medicine, medical science, the theory of diseases and their treatment, medical treatment, a health giving or curative practice or regimen, a remedy for disease, a medicinal agent or preparation; natural, physical, of or relating to natural philosophy; a science that deals with matter and energy and their interaction in the fields of mechanics, acoustics, optics, heat, electricity, magnetism, radiation, atomic structure, and nuclear phenomena, etc.

This word—physic—was used to describe the science of life—meaning that at one time it was considered to be the study of life, in all its perspectives. But note that the angle of health is prominent in early usage, too. So for many centuries physic was equivalent to Ayurveda. Both included the study of philosophy and of the natural world. It's the guess of this writer that the modification of meaning probably occurred mostly in the 18th-19th centuries, well into the Renaissance period. The use of the term "positivism" was becoming prominent among scientists/philosophers. With this we can say that the science of life was studied in its more material/physico-chemical aspects. Scientists of the period were more emphatic about studying theological and meta-physical issues separately and distinctly from the physico-chemical ones. (It's no coincidence that the root of the word doctor is docere—L. for to teach. A doctor, historically was supposed to teach the science of life to his patients. An Ayurvedic doctor is called a vaidya—one who knows—highlighting the fact that a broad base of knowledge has been mastered and that educating the patient is important prevention and cure.) Again in this regard a reflection upon our roots shows a more catholic view of knowledge was apparent among early thinkers and the tendencies for reductionistic thinking gradually emerged in the wake of the developments of the physical sciences. Here reductionistic means to be made simple(r). There is a certain irony in this trend as we are seeing a revival in India of Ayurveda and a dramatic new interest in it around the world. And remember, although this Survey reflects the more physico-chemical aspects of our science, Ayurveda is being represented emphatically as a holistic science—inclusive of mind, body, and spirit.

It is with this foreknowledge that the student should study this Survey. This is a vibrant, practical, and wise tradition of knowledge, techniques, practices, and more. We Āyurvedists do not affirm an exclusive position nor a superior one. Indeed, it seems that the concept of disease and therapeutics is still growing in scope and depth. Many modalities are emerging and they are showing benefits. We opine, however, that any investigation of life that omits an examination of Āyurveda is incomplete.

And on another theme, something must be said about another ancient controversy that affects students and practitioners alike: "Which is more important—knowledge or the medicine?" This writer had as one of his teachers, Vd. Vinayak Mhaiskar, a president of an Ayurvedic college in India. While discussing matters of education he offered the following opinion: "The most radical strategy in medicine today is education." Compare this statement with the words of Thomas Edison: "The doctor of the future will give no medicine but will interest his patients in the care of the human frame, in diet, and in the cause and prevention of disease." Further, during a class meeting with Vd. Brihaspati Triguna, former president of the All India Congress of Avurvedic Physicians, this writer asked him: "Which is more important—the drug or education." He answered" The drug." Immediately he changed his mind and said: "Knowledge." Then he changed his answer again and said: "Both." His response underscores the reality that sometimes one is more useful than the other. Caraka (Su. XXIV.42) says that a drug is mandatory in case of coma. But in more normal cases in order to gain the trust or faith of a client the practitioner may have to show that she has powerful medicine/knowledge. Following the remission of a symptom or disease the patient can be attentive to knowledge explaining the cause of his disease—diet and lifestyle, for example. On the other hand for some patients counseling about cause and effect will be empowering and permit the patient to take responsibility for his own improvement. For some, change comes at the hand of desperation and for others it comes from

inspiration. Thus we must conclude that both are important but the long term of health in the individual and in society rests with knowledge through education.

The tradition of training practitioners has always been rich in theory and experience. Depending upon where, when, and availability of formal educators, one or the other might have been emphasized. Content of the curriculum and the philosophy driving the variations are important. During the 17^{th} – 19^{th} centuries the Europeans bitterly contested rivaling philosophies in medical schools as represented by the German School and the French School. The Germans wanted their students to master theory, while the French wanted their students to master beside manner and trial and error experience—what really works. Both sides were paying homage to the greatest dictum in science today: Knowledge is organizing power.

Nobody studies for very long unless that study has the promise of some benefit. We are eminently practical in this regard. We don't go on endlessly cataloging events for the sake of cataloging them. We study them for their interrelationships—causal relations to be revealed. We want to better our lot or to avoid our pain associated with mistakes. For the science of life this means that one must study theory and learn to be a good observer, listener, and counselor. For that student who is reading this Survey with the goal to becoming a first rate chemo-therapist, he or she has, sadly, missed the point of the Survey—it's an overview intended to awaken one to the fact that this science is immense in scope and depth and emphatically more than about the secrets of Indian medicinal herbs and compounds. Recall that Suśruta opined that one can not master any science by the study of one science alone. Knowledge inevitably brings one to the conclusion that there is an awful lot to learn and the more one learns the more one knows that there is even more to know than one imagined at the start. This is the paradox of knowledge and learning—the more you learn the more you know that you don't know. But this is still of benefit for the wise person can take solace from her/his successes but remain humble with ignorance.

Why is it that the only data we can accept are those that we can experience with our senses or extensions thereof? Why is it that knowledge can not include mystical experience and intuition? There is an interesting quote that explains the Vedic position (Anirvan, p. 321): "The preservation of the (Vedic) texts for thousands of years through an oral tradition, which has continued even to the present day, is a wonderful feat of memory sustained by a spiritual fervour unparalleled in its tenacity. The whole thing has created an atmosphere of faith in which a supersensuous realism has been born, where a truth is rather felt by intuition than grasped by reason." It is difficult for the empiricists to accept these kinds of data since they have no palpable causal validity—no cause and effect relation can be studied. One classical text—Caraka--says knowing is not complete until one enters the patient with inner vision. But one implication of this definition of science is that many presenting illnesses will not have correct diagnosis and treatment, unless intuition is brought to bear. A colleague, Ingrid Naiman, once wrote that "the unconditioned mind is not merely unfettered but assumed to be privy to universal insights and understanding rather than historic learning."

Those who deny the existence of spirit and mind and of spiritual and mental diseases will never be able to diagnose or treat them properly. If the parameters of your model are limited then its use will be limited. For this reason we can say that Āyurveda, especially, is in an unique position among medical systems—it posits the existence of spirit and mind as causal entities and offers specific therapeutics for their pathological manifestations. The fundamental difference between our allopathic friends and ourselves is that we model cause and effect differently—differences in our views of the causal substances (i.e. 5 elements, time, direction, mind and soul) give rise to substantial differences in how the world works and therefore how we treat. We accept these notions on the basis of textual authority, traditions, oral tradition, and from testimony of our elders in the spiritual traditions.

Modern science has bowed to the gods of direct experience and inference. These are sensory based means of knowing. The area of analogy or comparison permits one to know more about things experienced or understood without more direct experience. Recall that Suśruta declared the study of many sciences an essential undertaking. This writer studies many fields of modern scholarship just to learn more about Āyurveda. How? You ask. By studying other sciences one learns of new distinctions and ways of viewing things that are useful for understanding our own methodology. One learns little about the nature of karma from the Āyurvedic literature yet a study of Jyotiṣa brings one to this subject front and center. In the chapter on Disease in this Survey there are many ways to think about this subject and each has some merit. So if everyone can accept direct experience, inference, and analogy why is the traditional authority invalid?

It seems to this writer that the field of science, of knowledge, should re-examine its roots and re-affirm the validity and importance of the 4 pramānas (means of knowing) of Āyurveda. The notion of "authority" should be cleansed and be re-elevated to its proper role. Just because the Roman Catholic Church got it wrong in the Dark Ages should not invalidate the value of its authority for all time or for this stature of others in other traditions. Authority elevates the notion of knowledge to the status of wisdom. Wisdom is the ultimate practical knowledge. Authority adds a stabilizing element to a tradition and for this we can be comforted, too. Those studying Āyurveda quickly come to these conclusions and easily affirm its importance, which makes it honorable among all traditions of knowledge, not just medicine.

For this writer it seems that the material presented above is under-represented in our science curriculae at many levels of learning. Listening to the public discourse on any topic leads one to the conclusion that most people are ignorant of modeling reality through non-real assumptions. It's tough to be disciplined about our thinking—modeling is important but how we relate to models/theories is equally important. Further, models don't deserve the appellation of a faith—as descriptors of reality. As for the subjective means of knowing – intuition --it's easy to be dismissive of experiences we don't understand but the time to model these experiences is nigh. As stated above we do not need to invoke faith in this matter, we only need to ask whether there is another way of looking at things that improves outcomes of clinical interventions then these should be invoked.

And finally, with regard to esoteric knowledge, Mahariṣhi Mahesh Yogi once declared: "The most important goal a doctor can have is to get to enlightenment as fast a possible." This means mastery of knowledge as Self-knowledge. The aspiring doctor gets to accomplish "Heal Thyself" and be highly successful with the care of others. For those studying this Science of Life and this Survey we believe this is your most significant knowledge challenge—Know Thyself as God or the God in you. This is surely knowledge of what is and the most practical knowledge, indeed. Om śāntī, śāntī, śāntī,

This Survey is dedicated to the authoritative tradition, a tradition of wisdom, and to all those who have served in this role for this writer. I'd like to give special mention to Dr. Vasant Lad for his guidance and wisdom he generously shared with me.

Michael Dick May, 2007